

證券交收指示及表格 Request for SI / ISI through CCASS

戶口名稱 A/C Name: _____

戶口號碼 A/C Number: _____

指示 Instruction (SI / ISI Instruction)

- 請安排收取下列證券並存入本人/吾等之證券戶 **RECEIVE** the following securities for my/our securities account
- 請由本人/吾等之證券戶口提取下列證券並安排交付 **DELIVER** the following securities from my/our securities account

交易對手 COUNTERPARTY

交易對手名稱: Name of Counterparty	參與者代號: CCASS Participant ID.
交易對手客戶號碼: A/C No. with Counterparty	交收日期: Settlement Date
交易對手聯絡人: Contact Person	聯絡電話: Contact Number

實物股票存入/提取 Physical Scrip Deposit / Withdrawal

存入現貨 Physical Scrip Deposit

股票編號 Share Certificate Number :

茲授權 貴公司於中央結算系統代執行以下之存入股票指示。 This is to authorize you to deposit the following shares certificates kept by you on my/our behalf with CCASS

本人/吾等確認下列股票收益人身份並沒有因進行以下股票存入指示而改變。 I/ We confirm that there is no change of beneficial ownership involved in this deposit. 轉手紙厘印費每張港幣 5 元。 Transfer Deed Stamp Duty is HKD 5.00 per transfer Deed.

提取現貨 Physical Scrip Withdrawal

茲由本人/吾等授權以下人士提取上述股票, 及附上被授權人身份證/護照副本作為授權證明:

I/We hereby authorize the following person to receive the above certificate(s), a copy of the authorized person's ID card/passport as proof of authorization:

被授權人姓名 Name of Authorized Person	被授權人身份證 / 護照號碼 Authorized Person 's ID No./ Passport No.
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被授權人之簽署式樣 Signature of Authorized Person:

股票資料 Stock Details

股份代號 Stock Code	股份名稱 Stock Name	股份數量 Quantity	交收貨幣(港幣) Settlement Amount (HKD)
			<input type="checkbox"/> FOP <input type="checkbox"/> DVP \$
			<input type="checkbox"/> FOP <input type="checkbox"/> DVP \$
			<input type="checkbox"/> FOP <input type="checkbox"/> DVP \$

*Please put "✓" on the appropriate box (FOP- Free of Payment, DVP-Delivery against Payment)請於適當方格內加上"✓"(FOP-免付款, DVP-貨銀對付)

客戶簽署及公司印章 (如適用) Authorized Signature(s) and Company Chop (if applicable)	經電話確認 Confirm by phone: Ext. 內線: _____ Date & Time 日期及時間: _____	本人/吾等謹簽署確認收到上述股票I/we acknowledge receipt of the above physical shares.
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FOR OFFICE USE ONLY 公司專用

Input by: _____	Date: _____	Checked by: _____	Date: _____	Approved by: _____	Date: _____
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*這是一張臨時收據, 閣下將會收到綜合日結單作為正式收據。 Note: This is a temporary receipt only, you will receive in due course a consolidated daily statement which also serve as the official receipt.